

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

CURRY, Kenneth; PAJOUHESH, Hassan

International Application No. PCT/CA99/00311

Serial No. 09/673,473

International Filing Date: 19 April 1999 (19.04.99)

Filed: October 16, 2000

EX: CUBANE DERIVATIVES AS METABOTROPIC GLUTAMATE RECEPTOR  
AGONISTS OR ANTAGONISTS AND PROCESS FOR THEIR PREPARATIONTRANSMITTAL LETTERAssistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith for filing in the U.S. Patent and  
Trademark Office is the following:

- (1) Transmittal Letter
- (2) Submission of International Preliminary Examination Report
- (3) International Preliminary Examination Report with Annexes  
(in English)

The Commissioner is hereby authorized to charge any  
deficiency or credit any excess to Deposit Account No. 14-0112.

Respectfully submitted,

NATH &amp; ASSOCIATES PLLC

By: Gary M. Nath  
Registration No. 26,965  
Customer No. 20529

Date: November 29, 2000

NATH &amp; ASSOCIATES PLLC

1030 15<sup>th</sup> Street N.W., 6<sup>th</sup> Floor  
Washington, D.C. 20005

(202) 775-8383

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

CURRY, Kenneth; PAJOUHESH, Hassan

International Application No. PCT/CA99/00311

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For: **CUBANE DERIVATIVES AS METABOTROPIC GLUTAMATE RECEPTOR  
AGONISTS OR ANTAGONISTS AND PROCESS FOR THEIR PREPARATION**

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**SUBMISSION OF INTERNATIONAL PRELIMINARY EXAMINATION REPORT**

Commissioner of Patents

Washington, D.C. 20231

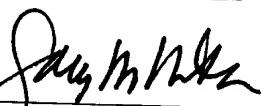
Sir:

To supplement the filing documents submitted on October 16, 2000, applicant now submits the International Preliminary Examination Report with annexes, in the English language. Attention is brought to the fact that the Examining Authority made an error in the page numbering of the claims.

Applicant previously submitted a first preliminary amendment on October 16, 2000, incorporating the above changes since no International Preliminary Amendment was not available to the applicant at that time. Accordingly, it is not necessary to enter the substitute pages of the International Preliminary Examination Report in the present application.

Respectfully submitted,  
**NATH & ASSOCIATES PLLC**

By:

  
Gary M. Nath  
Registration No. 26,965  
Customer No. 20529

Date: November 29, 2000

**NATH & ASSOCIATES PLLC**

1030 15<sup>th</sup> Street N.W., 6<sup>th</sup> Floor  
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526 Rec'd PCT/PTO 29 NOV 2000

BOX PCT MISSING REQUIREMENTS  
Attorney Docket No. 24406

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

CURRY, Kenneth; PAJOUHESH, Hassan

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International Filing Date: 19 April 1999 (19.04.99)

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O 1 PCT: CUBANE DERIVATIVES AS METABOTROPIC GLUTAMATE RECEPTOR  
AGONISTS OR ANTAGONISTS AND PROCESS FOR THEIR PREPARATION

NOV 29 2000

TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith for filing in the U.S. Patent and  
Trademark Office is the following:

- (1) Transmittal Letter
- (2) Copy of Notification of Missing Requirements Under 35 U.S.C. 371
- (3) Response to Notification of Missing Requirements
- (4) Executed Declaration and Power of Attorney
- (5) Assertion that Applicant is entitled to claim small entity status
- (6) Request for Refund
- (7) Copies of Check No. 13568, stamped filing receipt and post card showing payment of filing fee of \$878.00
- (8) Check No. 13859 \$ 65.00 surcharge for late filing of Declaration

The Commissioner is hereby authorized to charge any deficiency or credit any excess to Deposit Account No. 14-0112.

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Respectfully submitted,

**NATH & ASSOCIATES PLLC**

By: \_\_\_\_\_

Gary M. Nath

Registration No. 26,965

Customer No. 20529

Date: November 21, 2000

**NATH & ASSOCIATES PLLC**

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29 NOV 2000

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BOX PCT-MISSING REQUIREMENTS  
Attorney Docket No. 24406

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
In re Application of:

CURRY, Kenneth; PAJOUHESH, Hassan  
International Application No. PCT/CA99/00311  
Serial No. 09/673,473

International Filing Date: 19 April 1999 (19.04.99)  
Filed: October 16, 2000

For: **CUBANE DERIVATIVES AS METABOTROPIC GLUTAMATE RECEPTOR  
AGONISTS OR ANTAGONISTS AND PROCESS FOR THEIR PREPARATION**

**SUBMISSION OF MISSING REQUIREMENTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notification of Missing Requirements  
Under 35 U.S.C. 371 mailed 30 October 2000, submitted herewith  
are the following:

- (1) Executed Declaration and Power of Attorney
- (2) Assertion that Applicant is entitled to claim small entity status
- (3) Request for Refund
- (4) Copies of Check No. 13568, stamped filing receipt and post card showing payment of filing fee of \$878.00
- (5) Check No. 13859 \$ 65.00 surcharge for late filing of Declaration

The Commissioner is hereby authorized to charge any deficiency or credit any excess to Deposit Account No. 14-0112.

Respectfully submitted,  
**NATH & ASSOCIATES PLLC**

By: *Gary M. Nath*

Gary M. Nath  
Registration No. 26,965  
Customer No. 20529

Date: November 29, 2000

**NATH & ASSOCIATES PLLC**

1030 15<sup>th</sup> Street N.W., 6<sup>th</sup> Floor  
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Attorney Docket No. 24406

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

CURRY, Kenneth; PAJOUHESH, Hassan

International Application No. PCT/CA99/00311

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For: **CUBANE DERIVATIVES AS METABOTROPIC GLUTAMATE RECEPTOR  
AGONISTS OR ANTAGONISTS AND PROCESS FOR THEIR PREPARATION**

**REQUEST FOR REFUND**

Commissioner of Patents  
Washington, D.C. 20231

Sir:

This request for refund is made for the amount of \$439.00 which is half of the originally paid Government Filing Fee for the captioned application.

A Filing Fee of \$878.00 was paid on October 16, 2000 for the filing fee and additional claims fee. An assertion that applicant qualifies for small entity status is being filed concurrently with this Request for a Refund and Response to Notification of Missing Requirements. This request and the assertion of small entity status are being filed before the two-month time limit of December 16, 2000, to claim a refund. We enclose copies of the Assertion Claiming Small Entity Status along with a copy of Check No. 13568 for \$878.00 and the stamped filing receipt and postcard, showing payment of the Government Filing Fee on October 16, 2000.

Please credit the refund of the overpayment to our Deposit Account No. 14-0112.

Respectfully submitted,  
**NATH & ASSOCIATES PLLC**

  
Gary M. Nath  
Registration No. 26,965  
Customer No. 20529

Date: November 29, 2000

NATH & ASSOCIATES PLLC

1030 15<sup>th</sup> Street, N.W., 6<sup>th</sup> Floor  
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**ASSERTION OF SMALL ENTITY STATUS**

Applicant qualifies for small entity status under the CFR § 1.27(c) and is entitled to pay U.S. Patent and Trademark fees as a small entity.

Respectfully submitted,

**NATH & ASSOCIATES PLLC**

By: \_\_\_\_\_

  
Gary M. Nath  
Registration No. 26,965  
Customer No. 20529

Date: November 29, 2000

**NATH & ASSOCIATES PLLC**

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Washington, D.C. 20005

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PATENT & TRADEMARK ACCOUNT

DATE : Oct 16/2000  
CHE # : 13568  
AMOUNT: \$878.00  
ACCOUNT: GENERAL - 27  
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13568

Patent Office Fee  
Patent Office Fee 24406

CLIENT: 2677 - MBM & CO.  
MATTER: 24406

NATH & ASSOCIATES  
PATENT & TRADEMARK ACCOUNT  
1030 FIFTEENTH STREET NW, SIXTH FLOOR  
WASHINGTON, DC 20005

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